

Judy Paradise

From: Nolte, Matthew L <matt_nolte@uhc.com>
Sent: Wednesday, May 12, 2021 4:57 PM
To: Cobarrubias, Kelli
Cc: Betz, Bryan D
Subject: RE: City of Killeen - 1295 Form - NEED ASAP

Hi Kelli,

We have received this request from several public sector groups lately but believe the state changed the form process so publicly-traded companies do not need to complete it: <https://www.ethics.state.tx.us/data/filinginfo/1295Changes.pdf>

Changes to Form 1295

Changes to the law requiring certain businesses to file a Form 1295 are in effect for contracts entered into or amended on or after January 1, 2018. The changes exempt businesses from filing a Form 1295 for certain types of contracts and replace the need for a completed Form 1295 to be notarized. Instead, the person filing a 1295 needs to complete an "unsworn declaration."

What type of contracts are exempt from the Form 1295 filing requirement under the amended law?

The amended law adds to the list of types of contract exempt from the Form 1295 filing requirement. A completed Form 1295 is not required for:

- a sponsored research contract of an institution of higher education;
- an interagency contract of a state agency or an institution of higher education;
- a contract related to health and human services if:
 - the value of the contract cannot be determined at the time the contract is executed; and
 - any qualified vendor is eligible for the contract;
- a contract with a publicly traded business entity, including a wholly owned subsidiary of the business entity;*
- a contract with an electric utility, as that term is defined by Section 31.002, Utilities Code;* or
- a contract with a gas utility, as that term is defined by Section 121.001, Utilities Code.* The newly exempt contract types are marked with an asterisk

It's just difficult for a public entity to complete some of the questions in the form. Would this meet the City's needs/understanding of the 1295 rules?

Best regards,

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From: Cobarrubias, Kelli <KCobarrubias@lockton.com>
Sent: Wednesday, May 12, 2021 4:35 PM
To: Betz, Bryan D <bryan_betz@uhc.com>
Cc: Nolte, Matthew L <matt_nolte@uhc.com>
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If you are referring to #3, it would be RFP 21-20 2021 Medical and pharmacy services.